AΠ	

Award Number: DAMD17-02-1-0456

TITLE: The Role of Sigma Receptor in Breast Cancer

PRINCIPAL INVESTIGATOR: Lajos Pusztai, M.D.

CONTRACTING ORGANIZATION: The University of Texas M.D. Anderson

Cancer Center

Houston, Texas 77030

REPORT DATE: April 2004

TYPE OF REPORT: Annual Summary

PREPARED FOR: U.S. Army Medical Research and Materiel Command

Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;

Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

REPORT DOCUMENTATION PAGE

Form Approved OMB No. 074-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Sulte 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503

1. AGENCY USE ONLY	2. REPORT DATE	3. REPORT TYPE AND DATES COVERED						
(Leave blank)	April 2004	Annual Summary	(1 Apr 200	03 - 31 Mar 2004)				
4. TITLE AND SUBTITLE The Role of Sigma Recept	or in Breast Cancer		5. FUNDING N DAMD17-02-					
6. AUTHOR(S) Lajos Pusztai, M.D.								
7. PERFORMING ORGANIZATION NAI The University of Texas Houston, Texas 77030 E-Mail: lpusztai@mdanders	M.D. Anderson Cancer	Center	8. PERFORMIN REPORT NU	G ORGANIZATION MBER				
9. SPONSORING / MONITORING	2/201			NG / MONITORING PEPORT NUMBER				
AGENCY NAME(S) AND ADDRESS U.S. Army Medical Resear Fort Detrick, Maryland	ch and Materiel Comma	nd	AGENCY R	EPORT NUMBER				
11. SUPPLEMENTARY NOTES Original contains color	plates: All DTIC rep	productions will	be in blac	ck and white.				
12a. DISTRIBUTION / AVAILABILITY of Approved for Public Release		imited		12b. DISTRIBUTION CODE				
13. ABSTRACT (Maximum 200 Words	s)							
We have completed specific tasks	#1 and #2.							

Sigma 1 receptor (Sig 1 R) mRNA expression was examined in 109 human tissue specimens including normal breast, hyperplasia, ductal carcinoma in situ, and invasive cancer. We have also screened breast cancer cell lines for expression of Sig 1 and examined the effect of sigma ligands on cell growth and sensitivity to chemotherapy.

These findings are presented in the manuscript, Expression of sigma 1 receptor in human breast cancer. B. Wang, R. Rouzier, C.T. Albarracin, A. Sahin, P. Wagner, Y. Yang, T.L. Smith, F. Meric-Bernstam, A.C. Marcelo, G.N. Hortobagyi and L. Pusztai that has been accepted for publication in <u>Breast Cancer Research and Treatment</u> and will be

We obtained S1R gene expression vector from Dr Vadivel Ganapathy (Department of Biochemistry and Molecular Biology, Medical College of Georgia) and started to generate a stable S1R transected cell line for further experiments.

14. SUBJECT TERMS Breast cancer, sigma r	receptor		15. NUMBER OF PAGES 34
			16. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT Unlimited

published shortly.

Table of Contents

Cover	1
SF 298	2
Table of Contents	3
Introduction	4
Body	4
Key Research Accomplishments	4
Reportable Outcomes	4
Conclusions	4
References	••••
Appendices	5

Research Report

Introduction

The goal of this research project is to evaluate the extent of Sigma 1 receptor (S1R) in human breast cancer and determine its biological role, if any, in the pathomechanism of breast cancer. We used immunohistochemistry and RT-PCR to determine S1R expression in normal breast, hyperplasia, in situ cancer and invasive cancer. To address the biology of S1R, we studied the effect of S1R ligands on breast cancer cell lines and will generate a S1R transfected variant cell line to further examine the effect of forced expression in vitro and in vivo.

Body and Key Research accomplishments

One-hundred nine breast specimens from normal breast, benign breast disease and cancer were examined with immunohistochemistry or RT-PCR and 5 different cell lines were also evaluated. S1R mRNA overexpression was detected in 64 % of breast cancers compared to normal breast tissue. Immunohistochemistry showed positive epithelial cell staining in 60% of invasive and 41% of in situ cancers, 75% of ductal hyperplasia and in 33% of normal breast. The pattern of expression was more diffuse in invasive breast carcinoma compared to other conditions (p=0.02). S1R expression was neither a prognostic nor a predictive factor for efficacy of adjuvant chemotherapy but the study only included 58 cancer patients and therefore the statistical power is limited. MDA-MB-361, MDA-MB-435, BT20 and MCF7 cells all expressed S1R protein by Western blot. The non-specific S1R ligands haloperidol, reduced haloperidol and progesterone produced a dose-dependent inhibition of the growth at high (>10 µM) concentrations. Reduced haloperidol also showed additive cytotoxic effects when combined with doxorubicin, vinorelbine, paclitaxel and docetaxel in vitro. The S1R-specific ligand, SKF 10047 demonstrated the least growth inhibitory activity and showed no interaction with chemotherapy. These results demonstrate that some normal and most neoplastic breast epithelial cells and cell lines commonly express S1R. High concentrations of haloperidol inhibit the growth of these cells and potentiate the effect of chemotherapy in vitro.

We have started the next phase of the research. We received S1R gene expression vector from Dr Vadivel Ganapathy at the Department of Biochemistry and Molecular Biology, Medical College of Georgia, Agusta GA and transfected the S1R-negative breast cancer cell, T47D, line this receptor. A stable transected variant is currently being selected for further in vitro and in vivo experiments.

Reportable outcome

These findings are presented in the manuscript, Expression of sigma 1 receptor in human breast cancer. B. Wang, R. Rouzier, C.T. Albarracin, A. Sahin, P. Wagner, Y. Yang, T.L. Smith, F. Meric-Bernstam, A.C. Marcelo, G.N. Hortobagyi and L. Pusztai that has been accepted for publication in <u>Breast Cancer Research and Treatment</u> and will be published shortly. The complete manuscript in its final form is enclosed with this report.

Conclusions

We have completed specific task #2. We also completed aims 1 & 2 of specific task #1. Experiments are under way to address the remaining aims 3 & 4 of specific task #1.

Roman Rouzier To:

Lajos Pusztai/MDACC@MDACC, Bailiang

04/14/2004 02:07 PM

Wang/MDACC@MDACC

Subject: BREA: Decision on your manuscript

---- Forwarded by Roman Rouzier/MDACC on 04/14/2004 02:07 PM -----



"Breast Cancer Research and CC: Treatment" <jesikah.allison@wkap.com>

To: <rrouzier@mdanderson.org>

Subject: BREA: Decision on your manuscript 04/14/2004 01:49 PM

Dear rrouzier:

We are pleased to inform you that your manuscript, "Expression of sigma 1 receptor in human breast cancer" has been accepted for publication in Breast Cancer Research and Treatment.

You will be contacted about proofs and offprints in due course by our Manufacturing Department. Any queries concerning your manuscript should now be addressed to:

Maureen Tobin <maureen.tobin@wkap.com>

Please remember to quote the manuscript number, BREA339R, whenever inquiring about your manuscript.

Best regards,

The Editorial Office Breast Cancer Research and Treatment Expression of sigma 1 receptor in human breast cancer

B. Wang*^{1,2}, R. Rouzier*^{1,2}, C.T. Albarracin³, A. Sahin³, P. Wagner^{1,2}, Y. Yang⁴, T.L. Smith⁴, F. Meric-Bernstam^{2,5}, A.C. Marcelo⁶, G.N. Hortobagyi¹ and L. Pusztai^{1,2}
*BW and RR have contributed equally to this work

Departments of ¹Breast Medical Oncology, ³Pathology, ⁴Biostatistics and ⁵Surgical Oncology, ²Breast Cancer Research Program Core Laboratory, and ⁶Department of Carcinogenesis. The University of Texas MD Anderson Cancer Center, Houston, TX 77030, USA.

Key words: Breast cancer, sigma 1 receptor, ligands, SKF 10.047, haloperidol, immunohistochemistry, RT-PCR

Running title: Sigma 1 receptor expression in breast cancer

Funding: This research was supported by the DOD Breast Cancer Research Program Career Development Award to LP, DAMD17-02-1-0456.

Address correspondence to:

Lajos Pusztai, M.D, D.Phil.

e-mail:lpusztai@mdanderson.org

Box 424, Department of Breast Medical Oncology, The University of Texas MD Anderson Cancer Center, 1515 Holcombe Boulevard, Box 424, Houston, TX 77030-4009, USA. Tel.: +1-713-792-2817; fax: +1-713-794-4385.

Summary: The sigma 1 receptor (S1R) represents a unique drug-binding site that is distinct from any other receptors. We examined S1R expression in human breast cancer and assessed the activity of S1R ligands in breast cancer cell lines. One-hundred nine breast specimens from normal breast, benign breast disease and cancer were examined with immunohistochemistry or RT-PCR and 5 different cell lines were also evaluated. S1R mRNA overexpression was detected in 64 % of breast cancers compared to normal breast tissue. Immunohistochemistry showed positive epithelial cell staining in 60% of invasive and 41% of in situ cancers, 75% of ductal hyperplasia and in 33% of normal breast. The pattern of expression was more diffuse in invasive breast carcinoma compared to other conditions (p=0.02). S1R expression was neither a prognostic nor a predictive factor for efficacy of adjuvant chemotherapy but the study only included 58 cancer patients and therefore the statistical power is limited. MDA-MB-361, MDA-MB -435, BT20 and MCF7 cells all expressed S1R protein by Western blot. The non-specific S1R ligands haloperidol, reduced haloperidol and progesterone produced a dosedependent inhibition of the growth at high (>10 µM) concentrations. Reduced haloperidol also showed additive cytotoxic effects when combined with doxorubicin, vinorelbine, paclitaxel and docetaxel in vitro. The S1R-specific ligand, SKF 10047 demonstrated the least growth inhibitory activity and showed no interaction with chemotherapy. These results demonstrate that some normal and most neoplastic breast epithelial cells and cell lines commonly express S1R. High concentrations of haloperidol inhibit the growth of these cells and potentiate the effect of chemotherapy in vitro.

Introduction

Sigma receptors are unique drug binding sites of the cell membrane that are unrelated to any other known receptors [1, 2]. Sigma receptors bind a number of structurally unrelated psychoactive compounds such as haloperidol, phencyclidine and benzomorphans [1, 3]. It has been suggested that their endogenous ligand may be progesterone [4, 5]. Based on drug binding affinity, two types of Sigma receptors have been described, Sigma 1 (S1R) and Sigma 2 (S2R). Haloperidol, reduced haloperidol, 1,3 di-o-tolylguanidine and (+)-3-(3-Hydroxyphenyl)-N-(1-propyl)-piperidine bind equally to both receptor subtypes. Pentazocine and (+)-SKF 10047 only bind to S1R [3]. S1R was recently cloned from several species including humans [1, 6]. The gene encodes a 25 kDa protein with a single putative transmembrane domain and with a cytoplasmic reticulum retention sequence. It is a novel protein with no vertebrate homologues. The only known proteins with some homology are fungal sterol isomerases. S2R has not yet been cloned and is only identified as a drug binding activity on the cell surface.

Sigma receptor binding activity has been detected on a variety of neuronal tissues and non-neuronal cells by using radiolabeled sigma ligands. Sigma receptor binding activity was also identified on a variety of human tumor cell lines including melanoma, prostate, non-small cell lung and breast cancer cell lines (MCF7 and T47D) [7]. Little is known of the signal transduction mechanisms of Sigma receptors. In neuroendocrine cells it may inhibit voltage-activated potassium channel since activation of S1R by SKF-10047 reduced voltage-dependent potassium flow across the plasma membrane [8]. In the brain and heart S1R has been reported to be an intracellular calcium modulatory protein [9, 10].

It has also been reported that S1R ligands inhibit the growth of neoplastic cells including MCF-7 cells, melanoma, colon cancer and glioma cell lines through unknown mechanisms [7, 11, 12]. Sigma receptor agonists can also induce apoptosis in rat cerebellar granular cells and neuroblastoma cells [13]. S1R is an attractive molecule for further investigation because it may represent a potential novel therapeutic target for breast cancer and may also serve as a potential prognostic marker.

The expression of S1R in breast cancer and its biological relevance is not well understood. Our hypothesis was that S1R is expressed in a subset of human breast cancers and its expression may contribute to the biology of the disease. One goal of this research was to evaluate the expression of the S1R in human breast cancer and normal breast tissues by immunohistochemistry. The other goal was to examine the effect of S1R ligands on cell growth and sensitivity to chemotherapy of breast cancer cell lines in vitro.

Materials and methods

Patient samples and cell lines

Patient samples used in this study were retrieved from the breast cancer tumor bank of the University of Texas M.D. Anderson Cancer Center (UT MDACC). Fourteen frozen invasive breast cancers and 8 frozen normal breast reduction mammoplasty specimens were used for the RT-PCR analysis and an additional 95 formaldehyde fixed paraffin embedded tumors were used for the immunohistochemical analysis (IHC). The IHC specimens included 58 invasive, stage I-III breast cancer specimens and 37 samples from individuals with no invasive breast cancer (reduction mammoplasty specimens and ductal carcinoma in situ). All individuals were treated at UT MDACC between 1990 and

2002. Thirty-eight patients underwent a radical mastectomy and 20 a segmental mastectomy. Thirty-nine patients received neoadjuvant or adjuvant anthracyclin-based chemotherapy and 27 received adjuvant endocrine therapy only. The Institutional Review Board of UT MDACC approved this research on human tissues.

Human breast tumor cell lines MCF-7, MDA-MB-231, MDA-MB-361, MDA-435, T47D and BT20 were obtained from the American Type Culture Collection (ATCC, Manassas, VA). Cells were grown in 1:1 Ham's F12 Medium and Dulbecco's Modified Eagle's Medium with 2 mM L-glutamine. The medium was supplemented with 5% calf serum (MCF-7), 10% calf serum (MDA-MB-231) or 10% fetal bovine serum (T47D), and 10 μg/ml insulin and 1.2 mg/ml sodium bicarbonate. All culture media components were purchased from the MD Anderson Tissue Culture Core Facility (Houston, TX). All the media were supplemented with 100 U/ml of penicillin G sodium, 100 μg/ml of streptomycin. Cells were monitored routinely for mycoplasma contamination by using a detection kit (Boehringer Mannheim, Indianapolis, IN).

RNA Isolation and RT-PCR

Frozen tumor specimens with > 60% carcinoma tissue were used for total RNA isolation using a Qiagen Kit. For the cell lines, total RNA was extracted from the cells using TRIZOL reagent (Invitrogen) following the manufacturer's protocol. RNA yields were measured by spectrophotometer and RNA integrity was analyzed by agarose gel electrophoresis. Prior to reverse transcription, RNA was treated with DNAse I for 30 minutes at 37C using a DNA-free kit (Ambion Inc., Austin, TX). Four µg of total RNA was reverse transcribed and amplified using the reagents and protocol of the GeneAmp

RNA PCR Core Kit (Perkin Elmer, Norwalk,CT). S1R mRNA expression was detected by real-time RT-PCR using TGGATGGGCGCCATGT as forward primer and AAGGCGGTGC CGAAGAG as reverse primer. PCR conditions were as follows: 2 min 50°C, 10 min 95°C, 40 cycles of 15 sec 95°C and 1 min 60°C.

Immunohistochemical analysis of Sigma receptor in breast tumors

Paraffin-embedded tissues were sectioned in 4-6-µm slices. Sections were deparaffinized in xylene, and rehydrated by treatment with a graded series of alcohol washes. Antigen retrieval was performed by heating the slides in antigen retrieval type II solution (Vel-Lab Research, Houston, TX) for 20 minutes in a steamer. Slides were subsequently incubated for 15 minutes in 3% EtOH: water to quench endogenous peroxidase activity and incubated with rabbit blocking serum for 30 minutes. The slides were incubated overnight at 4°C with affinity purified goat polyclonal anti-human S1R primary antibody (Sig-1R, L-20, Santa-Cruz Biotechnology Inc). Positive reactions were visualized by using the Vectastain ABC Elite staining kit (Vector Laboratories). The sections were rinsed with distilled water, and counterstained with hematoxylin for 1 minute. The number of S1R positive epithelial cells was determined (at x 100 magnification), and the staining intensity was recorded. Staining was scored as negative, 1+ or 2+. A 2+ tumor specimen was used as positive control with each batch of staining. Specificity of the staining was shown by pre-incubation with Sig-1R blocking peptide (Santa-Cruz Biotechnology Inc) that abolished signal completely.

Western blot

Cell lysates from 1 x 10⁶ cells were prepared by scraping cells into ice-cold harvesting buffer (PBS pH=7.4 with 0.5% hSDS, 1% Nonidet P-40, proteinase inhibitor PMSF 100ug/ml aprotinin 1ug/ml). The lysates were boiled for 5 minutes and supernatants were collected after centrifugation in an Eppendorf microcentrifuge (14,000) rpm, 5 min) at 4°C. The protein concentration of the supernatant was determined by BCA protein assay (Bio-Rad laboratories). Equal amounts of protein were loaded onto 10% SDS-polyacrylamide mini-gels and after gel electrophoresis proteins were transferred to polyvinylidene difluoride membranes (Invitrogen, Carlsbad, CA) and blocked overnight at 4°C using 3% non-fat milk blocking buffer (3g non-fat milk powder per 100 ml of TBS (20 mM Tris-HCl, pH 7.5,0.5 M NaCl) and 0.05%(v/v) Tween20). Membranes were incubated for 3 h at room temperature with goat polyclonal anti-S1R, IL-20, Santa Cruz Biotechnology, Inc., Santa Cruz, CA) primary antibody. The primary antibody was diluted 1:250 in 1% non-fat milk in TBS and 0.05% Tween20. After washing three times with TTBS (TBS with 0.05%Tween 20), bovine anti-goat-IgG horseradish peroxidaseconjugated antibody (Santa Cruz biotechnology, Inc., Santa Cruz, CA) diluted 1:1500 in TTBS, was added and incubated for 2 h at room temperature. After washing three times with TTBS, S1R protein was visualized using ECL reagent (Amersham Bioscience, Buckinghamshire England) following the manufacturer's instructions. Images were obtained with autoradiography.

Assessment of cell growth

Cells were seeded at 1,000 cells/well density into 96-well microplates and cultured for 12 h before adding various test substances to the medium. After a further 72 h exposure, cell growth was determined by CellTiter 96 AqueousOne® cell proliferation assay (Promega, Madison, WI) following the manufacturer's instructions. The results were expressed as percent inhibition defined as the ratio Treated/Control (T/C), where T = optical density (OD) of treated cells and C = OD of control cultures. The concentration of each agent that inhibited cell growth by 50% (IC50) was determined using nonlinear regression analysis to fit the inhibition data (Prism 3. GraphPad Software, Inc., San Diego, CA). The effect of adding a sigma ligands to cells treated with a cytotoxic agent was tested for doses of chemotherapy less than IC50 to detect potential synergy.

Chemotherapy drugs, progesterone and sigma ligands SKF10047, reduced haloperidol and haloperidol were purchased from Sigma (St.Louis, MO). SKF10047 was dissolved in culture medium and reduced haloperidol in dimethyl sulfoxide (DMSO) (2% W/V). Before adding to medium, all chemical solutions were sterile filtered.

Statistical analysis

Proportions of S1R staining in normal breast and pathologic tissue were compared using non-parametric Kruskall-Wallis test and chi-squared test. Associations between Sigma receptor expression and various clinical and pathological parameters of cancer were evaluated with a chi-squared test or Fisher exact test if necessary. Disease-free survival was dated from the date of diagnosis. DFS was estimated using the Kaplan-Meier product-limit method and a 2-sided log-rank test was used to test the association

between sigma receptor and DFS. Results of the cell growth assay were expressed as mean ± standard deviation (SD) and the differences between treatment groups were compared by one-way ANOVA followed by Dunnet's test. *P*-values less than 0.05 were considered significant.

Results

Expression of S1R in human breast cancer

Nine of 14 invasive breast cancers (57%) overexpressed S1R mRNA greater than 2 fold compared to a reference pool of normal breast tissues measured by TaqMan-PCR (Table 1). Next, we examined the expression and cellular localization of the protein by immunohistochemistry in 95 separate cases. These included 12 normal breast tissues from reduction mammoplasty, 8 cases of ductal hyperplasia, 17 ductal carcinoma in situ (DCIS) and 58 invasive breast cancers. Variable cytoplasmic expression was detected in normal and neoplastic epithelial cells (Figure 1). The proportion of positive cases defined as any S1R expression was the highest in invasive cancer (60%) and lowest in normal breast epithelium (33%) but this difference did not reach statistical significance (non parametric Kruskall-Wallis test, p=0.13) (Table 2). As reported in Table 3, the pattern of expression was significantly more likely to be diffuse (all cells positive) in invasive breast carcinoma (26/58) than in other conditions (8/37) (p=0.02, chi-squared test) where it was more likely to be focal (few positive cells).

Relationship between S1R expression by immunochemistry and various clinicopathologic parameters of invasive breast carcinoma

No statistically significant associations were found between S1R expression and age (p=0.79), tumor size (p=0.63), pathological nodal status (p=0.21), histological grade (p=0.93), estrogen receptor (p=0.18), progesterone receptor (p=0.30) and HER2 status (p=0.31). We also performed an exploratory analysis to estimate the potential prognostic value of S1R expression. The median follow-up of the population was 36 months (range: 1-162). S1R expression was not associated with better or worse distant disease-free survival (p = 0.60) nor local relapse-free survival (p = 0.26) (Figure 2). In order to assess a potential interaction between S1R expression and the effect of adjuvant anthracyclin-based chemotherapy, we stratified outcome results according to the systemic treatment received. S1R expression was not a significant predictive factor of survival either in patients treated with chemotherapy or without chemotherapy (Figure 2c and 2d).

Effect of Sigma receptor ligands on the growth of breast cancer cell lines in vitro

Cell lines MDA-MB-231,-361,-435, MCF 7 and BT20 all expressed S1R protein by Western blot (Figure 3) and also by Northern blot analysis (data not shown). T47D cells did not express this receptor in our laboratory. The non-specific S1R ligands haloperidol, reduced haloperidol and progesterone showed a dose-dependent inhibition of the growth of essentially all cell lines at high concentrations (10-100 μ M) after 72 hours of exposure (Figure 4). Low doses (<10 μ M) of any of these ligands had no effect on cell growth (Figure 5). Of the 3 drugs tested, reduced haloperidol had the most pronounced

effect. The S1R-specific SKF 10,047 demonstrated a growth inhibitory activity only in MDA 435 cells.

Effect of Sigma receptor ligands on chemotherapy sensitivity of breast cancer cell lines in vitro

We also examined whether sigma ligands altered the sensitivity of cells to various chemotherapy drugs. We tested the effect of adding 20 µM SKF 10,047 (S1R specific ligand) and 20 µM reduced haloperidol (non-specific ligand) to doxorubicin, paclitaxel, docetaxel and vinorelbine, respectively. Figure 6 shows that reduced haloperidol enhanced the effect of the drugs tested. However, no additive or antagonistic effect was seen when (+)-SKF 10,047 was combined with any of the cytotoxic agents.

Discussion

Sigma receptors were identified as high-affinity binding sites for a variety of psychoactive drugs on neurons [2, 14]. Two subtypes, S1R and S2R are distinguishable pharmacologically but only S1R has been cloned. Although initially described in neuronal tissues, these receptors are also expressed in other organs including the liver, kidneys, lungs and the gonads [15, 16] and are also present in various cancer cell lines [7, 11, 13, 17, 18]. This broad pattern of expression suggests that they may play an important but yet to be elucidated physiologic role in a variety of cell types. In this study we examined the expression of S1R at the mRNA and protein levels in normal and neoplastic

breast tissues and assessed the effect of S1R ligands on the growth and chemotherapy sensitivity of breast cancer cells in vitro.

Fifty seven percent of invasive breast cancers overexpressed S1R mRNA greater than 2 fold compared to a reference pool of normal breast tissues measured by TaqMan-PCR. Immunohistochemical analysis confirmed the frequent expression of S1R protein in breast cancer. The proportion of S1R positive cases was highest among invasive cancers (60%) and lowest in normal breast specimens (33%) but this difference did not reach statistical significance. However, the pattern of expression was significantly more likely to be diffuse in invasive breast carcinoma (45%) compared to all other conditions including normal or benign proliferative epithelium (22%) (p=0.02). We did not observe any statistically significant association between S1R expression and patient's age, tumor size, nodal status, nuclear grade or estrogen progesterone and HER-2 receptor status. S1R also failed to show any prognostic value in this small study. However, this study was not powered to formally evaluate the prognostic and predictive value of S1R expression, therefore our results can only be considered exploratory. A larger study could detect a modes prognostic or predictive value.

There is one previous study that assessed S1R expression in breast cancer using immunohistochemistry, with an antibody that was different from ours. The investigators reported expression of S1R in 76% of stage I-III breast cancers [19]. Similar to our study there was no correlation with age, tumor size, nodal status, histological grade or proliferative activity of the tumor. However, a significant positive correlation with progesterone receptor positive status and also a poorer disease-free survival in patients with S1R-negative tumours were noted (p=0.045). The differences between the findings

of these two studies may be due to the different antibodies and antigen retrieval methods used or to the different patient populations that were included (proportion of patients with adjuvant chemotherapy 19% versus 67% in the MDACC study). Nevertheless, both studies confirmed that S1R is frequently and variably expressed in invasive breast cancer.

Next, we examined the effects of S1R ligands on the growth and chemotherapy sensitivity of breast cancer cell lines in vitro. Almost all cell lines expressed S1R protein by Western blot. Haloperidol, reduced haloperidol and progesterone all showed a dosedependent inhibition of the growth of these cells at concentrations ≥ 10 μM. Reduced haloperidol (non-specific ligand) produced the greatest inhibition whereas SKF 10,047 (S1R-specific ligand) showed the least growth inhibitory activity. Reduced haloperidol also demonstrated a significant additive effect in combination with doxorubicin, paclitaxel, docetaxel and vinorelbine. However, no similar additive (or antagonistic) effect was seen with (+)-SKF 10,047. Because of the weak effect of this ligand, no combination indexes could be calculated to formally test synergy as described by Chou et al.[20]. Since haloperidol only exerted these effects at high doses, and this drug binds to several receptors including S1R, S2R as well as to D2 dopamine receptors its growth inhibitory and chemotherapy sensitizing activity may not be mediated directly by S1R. Indeed, the relatively weak effects of the more specific (+)-SKF 10,047 suggest that S1R itself may have a limited influence on the proliferation or chemotherapy response of breast cancer cells.

The lack of highly specific S1R ligands and poor understanding of the signaling mechanisms of this receptor hinder the true determination of the contribution of S1R to neoplastic cell growth. However, several other investigators observed that ligands that

bind sigma receptors can inhibit cell growth. Berthois et al. reported that SR31747A, a ligand which binds to S1R and S2R and also to the human sterol isomerase, inhibits cell proliferation [21]. Haloperidol also inhibits tumor growth in vitro [11, 12, 22, 23]. Increasing evidence suggests that S2R may play a more important role in cell proliferation and cell death than S1R [11, 12, 18]. Unfortunately, S2R cannot be directly studied since the molecule has no been cloned.

The widespread expression of S1R in invasive and *in situ* breast cancers, ductal hyperplasia and its focal expression in some normal breast epithelial cells is an intriguing observation. Because of its frequent expression, it is likely to play some biological role in breast epithelial cells. The natural ligand of Sigma receptors, their signal transduction mechanisms and biological function in non-neural tissues remain to be elucidated. Forced expression of S1R in Sigma-negative cell lines and down regulation of S1R expression with small interfering RNAs in Sigma-positive cells may help evaluating further the biology of this unique receptor.

Acknowledgements

Supported in part by the Nellie B. Connally Breast Cancer Research Fund and by a Department of Defense CDA to L.P. RR was supported by the Association pour la Recherche sur le Cancer.

References

- 1. Hanner M, Moebius FF, Flandorfer A, Knaus HG, Striessnig J, Kempner E, Glossmann H: Purification, molecular cloning, and expression of the mammalian sigma1-binding site. Proc Natl Acad Sci U S A 93: 8072-8077, 1996.
- 2. Matsumoto RR, Liu Y, Lerner M, Howard EW, Brackett DJ: Sigma receptors: potential medications development target for anti-cocaine agents. Eur J Pharmacol 469: 1-12, 2003.
- Quirion R, Bowen WD, Itzhak Y, Junien JL, Musacchio JM, Rothman RB, Su TP,
 Tam SW, Taylor DP: A proposal for the classification of sigma binding sites.
 Trends Pharmacol Sci 13: 85-86, 1992.
- 4. Monnet FP, Mahe V, Robel P, Baulieu EE: Neurosteroids, via sigma receptors, modulate the [3H]norepinephrine release evoked by N-methyl-D-aspartate in the rat hippocampus. Proc Natl Acad Sci U S A 92: 3774-3778, 1995.
- 5. Urani A, Roman FJ, Phan VL, Su TP, Maurice T: The antidepressant-like effect induced by sigma(1)-receptor agonists and neuroactive steroids in mice submitted to the forced swimming test. J Pharmacol Exp Ther 298: 1269-1279, 2001.
- 6. Seth P, Fei YJ, Li HW, Huang W, Leibach FH, Ganapathy V: Cloning and functional characterization of a sigma receptor from rat brain. J Neurochem 70: 922-931, 1998.

- 7. Vilner BJ, John CS, Bowen WD: Sigma-1 and sigma-2 receptors are expressed in a wide variety of human and rodent tumor cell lines. Cancer Res 55: 408-413, 1995.
- 8. Wilke RA, Mehta RP, Lupardus PJ, Chen Y, Ruoho AE, Jackson MB: Sigma receptor photolabeling and sigma receptor-mediated modulation of potassium channels in tumor cells. J Biol Chem 274: 18387-18392, 1999.
- 9. Ela C, Barg J, Vogel Z, Hasin Y, Eilam Y: Sigma receptor ligands modulate contractility, Ca++ influx and beating rate in cultured cardiac myocytes. J Pharmacol Exp Ther 269: 1300-1309, 1994.
- 10. Hayashi T, Maurice T, Su TP: Ca(2+) signaling via sigma(1)-receptors: novel regulatory mechanism affecting intracellular Ca(2+) concentration. J Pharmacol Exp Ther 293: 788-798, 2000.
- 11. Brent PJ, Pang G, Little G, Dosen PJ, Van Helden DF: The sigma receptor ligand, reduced haloperidol, induces apoptosis and increases intracellular-free calcium levels [Ca2+]i in colon and mammary adenocarcinoma cells. Biochem Biophys Res Commun 219: 219-226, 1996.
- 12. Crawford KW, Bowen WD: Sigma-2 receptor agonists activate a novel apoptotic pathway and potentiate antineoplastic drugs in breast tumor cell lines. Cancer Res 62: 313-322, 2002.

- 13. Vilner BJ, Bowen WD: Sigma receptor-active neuroleptics are cytotoxic to C6 glioma cells in culture. Eur J Pharmacol 244: 199-201, 1993.
- 14. Bowen WD: Sigma receptors: recent advances and new clinical potentials. Pharm Acta Helv 74: 211-218, 2000.
- 15. Hellewell SB, Bruce A, Feinstein G, Orringer J, Williams W, Bowen WD: Rat liver and kidney contain high densities of sigma 1 and sigma 2 receptors: characterization by ligand binding and photoaffinity labeling. Eur J Pharmacol 268: 9-18, 1994.
- 16. Wolfe SA, Jr., Culp SG, De Souza EB: Sigma-receptors in endocrine organs: identification, characterization, and autoradiographic localization in rat pituitary, adrenal, testis, and ovary. Endocrinology 124: 1160-1172, 1989.
- 17. Yang ZW, Paleos GA, Byrd JC: Expression of (+)-3-PPP binding sites in the PC12 pheochromocytoma cell line. Eur J Pharmacol 164: 607-610, 1989.
- 18. Vilner BJ, de Costa BR, Bowen WD: Cytotoxic effects of sigma ligands: sigma receptor-mediated alterations in cellular morphology and viability. J Neurosci 15: 117-134, 1995.
- 19. Simony-Lafontaine J, Esslimani M, Bribes E, Gourgou S, Lequeux N, Lavail R, Grenier J, Kramar A, Casellas P: Immunocytochemical assessment of sigma-1 receptor and human sterol isomerase in breast cancer and their relationship with a series of prognostic factors. Br J Cancer 82: 1958-1966, 2000.

- 20. Chou TC, Talalay P: Quantitative analysis of dose-effect relationships: the combined effects of multiple drugs or enzyme inhibitors. Adv Enzyme Regul 22: 27-55, 1984.
- 21. Berthois Y, Bourrie B, Galiegue S, Vidal H, Carayon P, Martin PM, Casellas P: SR31747A is a sigma receptor ligand exhibiting antitumoural activity both in vitro and in vivo. Br J Cancer 88: 438-446, 2003.
- 22. Brent PJ, Pang GT: Sigma binding site ligands inhibit cell proliferation in mammary and colon carcinoma cell lines and melanoma cells in culture. Eur J Pharmacol 278: 151-160, 1995.
- 23. Moody TW, Leyton J, John C: Sigma ligands inhibit the growth of small cell lung cancer cells. Life Sci 66: 1979-1986, 2000.

Table 1. Relative expression of the S1R mRNA in breast cancer samples compared to normal breast tissue by real-time RT-PCR. Expression ratios of S1R normalized to beta-actin are presented (ratio = normalized cancer Sigma mRNA / normalized normal breast tissue sigma RNA).

Sample #:	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Ratio:	0.22	0.02	0.11	24.0	11.5	7.0	2.0	0.03	3.2	2.7	0.8	4.0	3.0	37

Table 2. S1R protein expression assessed by immunohistochemistry in normal breast, benign epithelial hyperplasia, ductal carcinoma in situ (DCIS) and invasive carcinoma. Number of patient within each category is presented in the table. (* non parametric Kruskall-Wallis test)

B-111-1-111-11-11-11-11-11-11-11-11-11-1	Sigma 1 receptor expression								
	Intensity				Pattern				
	0	1+	2+	р	0	focal	diffuse	_ р	
Normal	8 (67%)	3 (25%)	1 (8%)		8 (67%)	3 (25%)	1 (8%)		
Ductal hyperplasia	2 (25%)	4 (50%)	2 (25%)		2 (25%)	4 (50%)	2 (25%)		
DCIS	10 (59%)	6 (35%)	1 (6%)		10 (59%)	1 (6%)	6 (35%)		
Invasive carcinoma	23 (40%)	29 (50%)	6 (10 %)	.13*	23 (40%)	9 (16%)	26 (44%)	.08*	

Table 3. S1R protein expression in invasive carcinoma compared with other conditions (normal breast epithelium, benign epithelial hyperplasia and ductal carcinoma in situ): chi-square test.

	Sigma 1 receptor expression								
	Intensity			Pattern					
	0 1+/2+		p	0/focal	diffuse	p			
Invasive carcinoma	23 (40%)	35 (60%)	·	32 (55%)	26 (45%)				
Other conditions	20 (54%)	17 (46%)	.17	29 (78%)	8 (22%)	.02			

Figure 1. Immunohistochemical staining for S1R in formaldehyde fixed paraffin embedded tissues.

(a) ductal hyperplasia scored 3+, (b) DCIS scored 1+, (c) invasive ductal carcinoma with no staining and (d) invasive ductal carcinoma scored 2+ (magnification x 40).

Figure 2. Distant and local relapse-free survival according to S1R expression and disease-free survival in patients with and without adjuvant chemotherapy. Patients with S1R positive tumors are represented by the dotted line.

Figure 3. Sigma 1 Receptor expression in 6 breast cancer cell lines by western blot analysis.

Figure 4. Effects of various concentrations of sigma 1 receptor ligands (+)-SKF 10,047, progesterone, reduced haloperidol and haloperidol on cell growth in 5 different Sigma 1 receptor positive cell lines.

Growth inhibitory effect is expressed as % of medium/diluent treated control and results are presented as a gray scale heat map with 25% increments.

Figure 5. Growth inhibitory effects of 4 different S1R ligands at low concentrations on MDA 435 cells

Figure 6. Association between 4 different cytotoxic drugs and 20 μM of (+)-SKF 10,047 or reduced haloperidol in MDA 361 cells. At each dose level of cytotoxic agent, the inhibitory effect of adding a sigma receptor ligand to chemotherapy is expressed as % of cell growth inhibition compared to the cytotoxic drug alone.

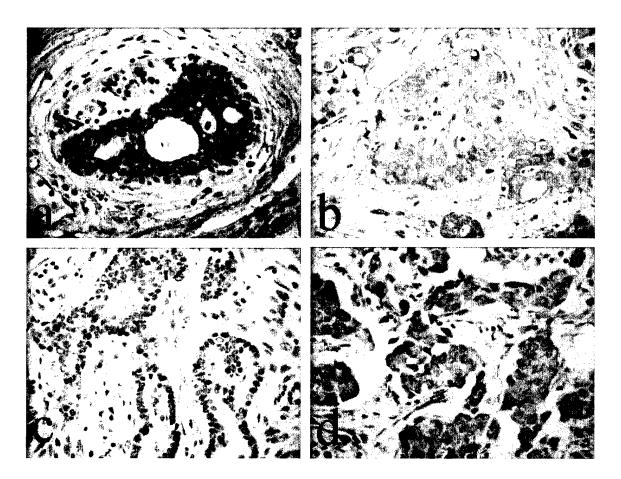
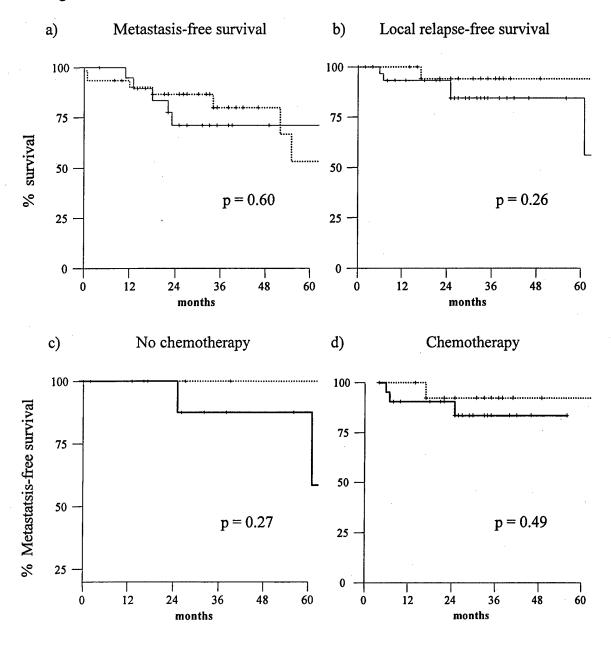


Figure 1

Figure 2



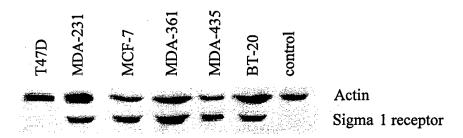
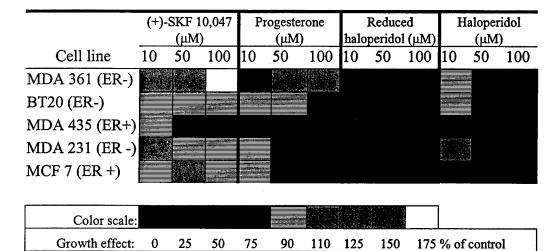


Figure 4



ER: Estrogen receptor status (positive or negative)

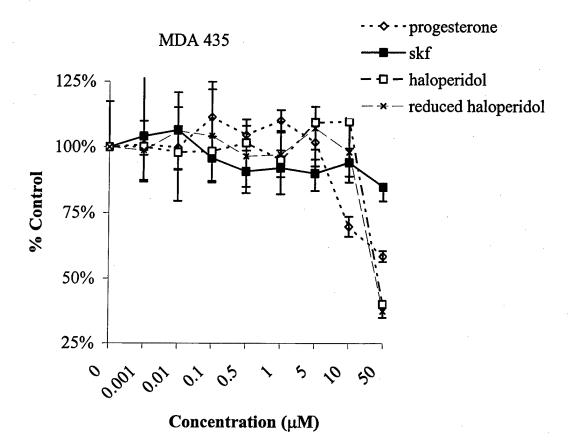


Figure 5

Figure 6

